



**MAKATI FINANCE**  
**CORPORATION**  
MORE THAN JUST FINANCING

August 30, 2024

*via electronic mail*

**SECURITIES AND EXCHANGE COMMISSION**

7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City, 1209

Attention : **HON. RACHEL ESTHER J. GUMTANG-REMALANTE**  
OIC, Corporate Governance and Finance Department

**The Disclosure Department**

**THE PHILIPPINE STOCK EXCHANGE, INC.**

5th Avenue corner 28th Street, Bonifacio Global City, Taguig City, Philippines 1634

Attention: **Atty. Stefanie Ann B. Go**  
Officer-in-Charge, Disclosure Department

**Re: Compliance with the Corporate Governance Training Requirement**

Gentlemen:

In compliance with the Code of Corporate Governance for Publicly Listed Companies and the Manual on Corporate Governance of Makati Finance Corporation, we advise that the following Directors attended the following seminars:

**MAX FRANCISCO JOSE O. BORROMELO – Director**

August 19, 2024 via zoom Webinar

Topic : Anti-Money Laundering/Countering Terrorism Financing ( AML/CTF)

Conducted by : Anti-Money Laundering Council

**MAX FRANCISCO JOSE O. BORROMELO – Director**

August 29, 2024 via zoom Webinar

Topic : TARGETED FINANCIAL SANCTIONS (TFS)

Conducted by : Anti-Money Laundering Council

**MAXCY FRANCISCO JOSE R. BORROMELO – Director**

August 29, 2024 via zoom Webinar

Topic : TARGETED FINANCIAL SANCTIONS (TFS)

Conducted by : Anti-Money Laundering Council

Thank you.

Very truly yours,

**Makati Finance Corporation**  
Registrant

By:

**Marcos E. Larosa**  
CFO/Compliance Officer

# COVER SHEET

2 8 7 8 8

S.E.C. Registration Number

M A K A T I F I N A N C E C O R P O R A T I O N

(Company's Full Name)

3 R D F L O O R M A Z D A M A K A T I

B U I L D I N G 2 3 0 1 C H I N O R O C E S

B A R A N G A Y M A G A L L A N E S

A V E N U E M A K A T I C I T Y 1 2 3 1

(Business Address : No. Street/City/Province)

**MARCOS E. LAROSA**

Contact Person

**(02) 751-8132**

Company Telephone Number

1 2

Month

3 1

Day

Fiscal Year

**2024**

**SEC Form 17-C**

FORM TYPE

0 7

Month

Day

Annual Meeting

**Every last  
Thursday of July**

**Financing**

Secondary License Type, If Applicable

C G F D

Dept. Requiring this Doc.

Amended Articles Number/Section

**113**

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

**STAMPS**

Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE (SRC)  
AND SRC RULE 17(b)(3) THEREUNDER

1. **August 30, 2024**  
(Date of earliest event reported)
2. SEC Identification Number: 28788
3. BIR Tax Identification No.: 000-473-966
4. **MAKATI FINANCE CORPORATION**  
Exact name of registrant as specified in its charter
5. **Makati City, Philippines**   
Province, country or other jurisdiction of incorporation
6. (SEC Use Only)  
Industry Classification Code:
7. **3F Mazda Makati, 2301 Chino Roces Ave. Brgy. Magallanes, Makati City** **1231**  
Address of principal office Postal Code
8. **(0632) 751-8132**  
Registrant's telephone number, including area code
9. **7823 Makati Avenue, Makati City**  
Former name or former address, if changed since last report
10. Securities registered pursuant to SRC

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
Common Shares	271,961,630

11. Indicate the item numbers reported herein: **Item 9 – Other Matters**

**Item 9 – Other Events**

**In compliance with SEC Memorandum Circular No.2, Series of 2015, No.1, Series of 2014 and No.20, Series of 2013, we inform the Honorable Commission that the following Directors of the Company attended the following webinars:**

**MAX FRANCISCO JOSE O. BORROMELO – Director**

August 19, 2024 via zoom Webinar

Topic : Anti-Money Laundering/Counteracting Terrorism Financing ( AML/CTF)

Conducted by : Anti-Money Laundering Council

**MAX FRANCISCO JOSE O. BORROMELO – Director**

August 29, 2024 via zoom Webinar

Topic : TARGETED FINANCIAL SANCTIONS (TFS)

Conducted by : Anti-Money Laundering Council

**MAXCY FRANCISCO JOSE R. BORROMELO – Director**

August 29, 2024 via zoom Webinar

Topic : TARGETED FINANCIAL SANCTIONS (TFS)

Conducted by : Anti-Money Laundering Council

**Please see attached for your reference the Certificate of Attendance of the Directors who attended the said seminar.**

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**MAKATI FINANCE CORPORATION**  
Registrant

By:



**MARCOS E. LAROSA**  
CHIEF FINANCE OFFICER/  
Chief Information Officer

Date: 30 August 2024

cc: *Disclosure Department*  
*Listing and Disclosure Group*  
*Philippine Stock Exchange*



ANTI-MONEY LAUNDERING AND COUNTER-TERRORISM FINANCING (AML/CTF)  
FUNDAMENTALS COURSE

**AML/CTF Fundamentals (19 August 2024, 9  
a.m. to 12 noon)**

Certificate of Attendance

**MAX FRANCISCO JOSE BORROMEO**

A handwritten signature in blue ink, appearing to read 'M. David', is written over a yellow background.

**MATTHEW M. DAVID**

Executive Director

Anti-Money Laundering Council

Issued: 2024-08-19

Certificate ID: jzkhfghplm



TARGETED FINANCIAL SANCTIONS (TFS) COURSE

**TFS (29 August 2024, 9 a.m. to 11 a.m.)**

Certificate of Attendance

**MAX FRANCISCO JOSE BORROMEO**

A handwritten signature in blue ink, appearing to read "Matthew M. David", is positioned above the printed name and title.

**MATTHEW M. DAVID**

Executive Director

**Anti-Money Laundering Council**

Issued: 2024-08-29

wmhndsuaa0



TARGETED FINANCIAL SANCTIONS (TFS) COURSE

**TFS (29 August 2024, 9 a.m. to 11 a.m.)**

Certificate of Attendance

**Maxcy Francisco Jose Borromeo**

A handwritten signature in blue ink, appearing to read 'Matthew M. David', is positioned above the printed name and title.

**MATTHEW M. DAVID**

Executive Director

**Anti-Money Laundering Council**

Issued: 2024-08-29

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