



MAKATI FINANCE
CORPORATION
MORE THAN JUST FINANCING

January 31, 2022

via electronic mail

SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307

Attention : **HON. RACHEL ESTHER J. GUMTANG-REMALANTE**
OIC, Corporate Governance and Finance Department

The Disclosure Department
THE PHILIPPINE STOCK EXCHANGE, INC.
3rd Floor, Tower One and Exchange Plaza
Ayala Triangle, Ayala Avenue, Makati City

Attention: **MS. JANET A. ENCARNACION**
Head of Disclosure Department

Re: **Compliance with the Corporate Governance Training Requirement**

Gentlemen:

In compliance with the Code of Corporate Governance for Publicly Listed Companies and the Manual on Corporate Governance of Makati Finance Corporation, we advise that the following Directors attended the following seminars:

:

JOSE DANIEL R. BORRAMEO – Director

July 23, 2021 via zoom Webinar

Topic : Anti-Money Laundering/Countering Terrorism Financing (AML/CTF)

Conducted by : Anti-Money Laundering Council

ASTERIO L. FAVIS, JR. – Director

November 19, 2021 via zoom Webinar

Topic : 8th SEC-PSE Corporate Governance Forum

Conducted by: Securities and Exchange Commission(SEC) and Philippine Stock Exchange(PSE)

Thank you.

Very truly yours,

Makati Finance Corporation

Registrant

By:


MARCOS E. LAROSA
Chief Finance Officer

COVER SHEET

		2	8	7	8	8			
--	--	---	---	---	---	---	--	--	--

S.E.C. Registration Number

M	A	K	A	T	I	F	I	N	A	N	C	E	C	O	R	P	O	R	A	T	I	O	N
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

(Company's Full Name)

3	R	D	F	L	O	O	R	M	A	Z	D	A	M	A	K	A	T	I			
B	U	I	L	D	I	N	G	2	3	0	1	C	H	I	N	O	R	O	C	E	S
B	A	R	A	N	G	A	Y	M	A	G	A	L	L	A	N	E	S				
A	V	E	N	U	E	M	A	K	A	T	I	C	I	T	Y	1	2	3	1		

(Business Address : No. Street/City/Province)

MARCOS E. LAROSA

Contact Person

(02) 7751-8132

Company Telephone Number

1	2
---	---

Month

3	1
---	---

Day

Fiscal Year

2021

SEC Form 17-C

FORM TYPE

Financing

Secondary License Type, If Applicable

0	7
---	---

Month

--	--

Day

Annual Meeting

Every last Thursday of July

C	G	F	D
---	---	---	---

Dept. Requiring this Doc.

--

Amended Articles Number/Section

109

Total No. of Stockholders

Total Amount of Borrowings	
Domestic	Foreign

To be accomplished by SEC Personnel concerned

--	--	--	--	--	--	--	--	--

File Number

--	--	--	--	--	--	--	--	--

Document I.D.

LCU

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE (SRC)
AND SRC RULE 17(b)(3) THEREUNDER

1. **December 31, 2021**
(Date of earliest event reported)
2. SEC Identification Number: 28788
3. BIR Tax Identification No.: 000-473-966
4. **MAKATI FINANCE CORPORATION**
Exact name of registrant as specified in its charter
5. **Makati City, Philippines**
Province, country or other jurisdiction of incorporation
6. (SEC Use Only)
Industry Classification Code:
7. **3F Mazda Makati, 2301 Chino Roces Ave. Brgy. Magallanes, Makati City** **1231**
Address of principal office Postal Code
8. **(0632) 7751-8132**
Registrant's telephone number, including area code
9. **7823 Makati Avenue, Makati City**
Former name or former address, if changed since last report
10. Securities registered pursuant to SRC

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
Common Shares	267,828,098

11. Indicate the item numbers reported herein: **Item 9 – Other Matters**

Item 9 – Other Events

In compliance with SEC Memorandum Circular No.2, Series of 2015, No.1, Series of 2014 and No.20, Series of 2013, we inform the Honorable Commission that the following Directors of the Company attended the following seminars:

JOSE DANIL R. BORROMEO – Director

July 23, 2021 via zoom Webinar

Topic : Anti-Money Laundering/Counteracting Terrorism Financing (AML/CTF)

Conducted by : Anti-Money Laundering Council

ASTERIO L. FAVIS, JR. – Director

November 19, 2021 via zoom Webinar

Topic : 8th SEC-PSE Corporate Governance Forum

Conducted by: Securities and Exchange Commission(SEC) and Philippine Stock Exchange(PSE)

Please see attached for your reference the Certificate of Attendance of the Directors who attended the said seminar.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MAKATI FINANCE CORPORATION
Registrant

By:



MARCOS E. LAROSA
CHIEF FINANCE OFFICER/
Chief Information Officer

Date: 31 JANUARY 2022

cc: *Disclosure Department*
Listing and Disclosure Group
Philippine Stock Exchange



CERTIFICATE OF PARTICIPATION

is hereby given to

BORROMEJO, JOSE DANIEL R.

for participating in the Anti-Money Laundering/Countering Terrorism Financing (AML/CTF) Webinar for Designated Non-Financial Businesses and Professions (DNFBPs)

Conducted on **23 July 2021 via Zoom**



secretariat@amlc.gov.ph
8708-7701




MEL GEORGIE B. RACELA

Executive Director
Anti-Money Laundering Council Secretariat

Certificate No. **2021-0723-A-0229**

Date issued: **11 August 2021**



Certificate of Attendance

is awarded by the

Securities and Exchange Commission

to

Asterio L. Favis, Jr.

for participating in the

8th SEC-PSE Corporate Governance Forum

November 19, 2021

via Zoom webinar

A handwritten signature in black ink, appearing to read "Emilio B. Aquino", written over a horizontal line.

EMILIO B. AQUINO

Chairperson

Securities and Exchange Commission

SEC-PSE
CORPORATE
GOVERNANCE
FORUM