



109202019000847



# SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
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## Company Information

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**SEC Registration No.** 0000028788  
**Company Name** MAKATI FINANCE CORP.  
**Industry Classification** FINANCING COMPANY OPERATIONS  
**Company Type** Stock Corporation

## Document Information

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**Document ID** 109202019000847  
**Document Type** Statement of Changes in the Beneficial Ownership  
**Document Code** 23B  
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**Remarks** REPORTING PERSON:  
CRUZ ALAN MICHAEL R.

STAMPS

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# COVER SHEET

2 8 7 8 8  
S.E.C. Registration Number

M A K A T I F I N A N C E C O R P O R A T I O N

(Company's Full Name)

3 R D F L O O R M A Z D A M A K A T I

B U I L D I N G 2 3 0 1 C H I N O R O C E S

B A R A N G A Y M A G A L L A N E S

A V E N U E M A K A T I C I T Y 1 2 3 1

(Business Address : No. Street/City/Province)

MARCOS E. LAROSA  
Contact Person

(02) 752-8132  
Company Telephone Number

1 2  
Month

3 1  
Day

Fiscal Year

2019

SEC Form 23-B  
FORM TYPE

0 7  
Month Day

Annual Meeting

Every last  
Thursday of July

Secondary License Type, If Applicable

C F D  
Dept. Requiring this Doc.

Amended Articles Number/Section

108  
Total No. of Stockholders

Total Amount of Borrowings  
Domestic Foreign

To be accomplished by SEC Personnel concerned

File Number

Document I.D.

LCU

Cashier

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REVISED

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

**FORM 23-B**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

1. Name and Address of Reporting Person		2. Issuer Name and Trading Symbol		7. Relationship of Reporting Person to Issuer (Check all applicable)			
Cruz, Alan Michael R. (Last) (First) (Middle)		Makati Finance Corporation MFIN		<input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (specify below) <input type="checkbox"/> Other (specify below)			
410 Madrigal Ave, (Street)		103-569-603		<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (specify below) <input type="checkbox"/> Other (specify below)			
Muntinlupa City (City) (Province) (Postal Code)		SEPTEMBER 2019		<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (specify below) <input type="checkbox"/> Other (specify below)			
		6. If Amendment, Date of Original (Month/Year)					
		Citizenship		Filipino			
Table 1. Equity Securities Beneficially Owned							
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	3. Securities Acquired (A) or Disposed of (D)		4. Amount of Securities Beneficially Owned at end of Month	5. Percentage Held	6. Ownership Form: Direct (D) or Indirect (I) *	7. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)				
Common Shares*	09/18/2019	0	A	P1.00	1	0.00%	D
<b>*AS A RESULT OF THE STOCK DIVIDEND ISSUANCE</b>							

(Print or Type Responses)

If the change in beneficial ownership is 50% or more of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:  
 (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or  
 (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:  
 (A) held by members of a person's immediate family sharing the same household;  
 (B) held by a partnership in which such person is a general partner;  
 (C) held by a corporation of which such person is a controlling shareholder; or  
 (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-B (continued)**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)**

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)	6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security: Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)		Title	Amount or Number of Shares				

**-NONE-**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati.

  
**ALAN MICHAEL R. CRUZ**  
 Signature of Reporting Person

Date \_\_\_\_\_

*Note:* File three (3) copies of this form, one of which must be manually signed. Attach additional sheets if space provided is insufficient.