

# COVER SHEET

2 8 7 8 8

S.E.C. Registration Number

M A K A T I F I N A N C E C O R P O R A T I O N

(Company's Full Name)

3 R D F L O O R M A Z D A M A K A T I

B U I L D I N G 2 3 0 1 C H I N O R O C E S

B A R A N G A Y M A G A L L A N E S

A V E N U E M A K A T I C I T Y 1 2 3 1

(Business Address : No. Street/City/Province)

**MARCOS E. LAROSA**

Contact Person

**(02) 7751-8134**

Company Telephone Number

1 2

Month

3 1

Day

Fiscal Year

**2021**

**SEC Form 23-B**

FORM TYPE

Secondary License Type, If Applicable

0 7

Month

Day

Annual Meeting

**Every last Thursday of July**

M S R D

Dept. Requiring this Doc.

Amended Articles Number/Section

111

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

**STAMPS**

Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

**FORM 23-B**

**REVISED**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

1. Name and Address of Reporting Person <b>Cruz, Alan Michael R.</b> <small>(Last) (First) (Middle)</small> [REDACTED] <small>(Street)</small> [REDACTED] <small>(City) (Province) (Postal Code)</small>		2. Issuer Name and Trading Symbol <b>Makati Finance Corporation MFIN</b>			7. Relationship of Reporting Person to Issuer <small>(Check all applicable)</small>  <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner  <input type="checkbox"/> Officer <input type="checkbox"/> Other <small>(give title below) (specify below)</small>			
		3. Tax Identification Number/Passport No.	5. Statement for Month/Year <b>SEPTEMBER 2021</b>					
		4. Citizenship <b>Filipino</b>	6. If Amendment, Date of Original (Month/Year)					
Table 1. Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	3. Securities Acquired (A) or Disposed of (D)			4. Amount of Securities Beneficially Owned at end of Month	5. Percentage Held	6. Ownership Form: Direct (D) or Indirect (I) *	7. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Price				
<b>Common Shares*</b>	<b>9/21/2021</b>	<b>0</b>	<b>A</b>	<b>P1.00</b>	<b>1</b>	<b>0.00%</b>	<b>D</b>	
<b>*AS A RESULT OF THE STOCK DIVIDEND ISSUANCE</b>								
No Stock Dividend								

(Print or Type Responses)

**If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.**

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:

(A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or

(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.

(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:

(A) held by members of a person's immediate family sharing the same household;

(B) held by a partnership in which such person is a general partner;

(C) held by a corporation of which such person is a controlling shareholder; or


(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-B (continued)**

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
-NONE-												

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati.

  
**ALAN MICHAEL R. CRUZ**  
 Signature of Reporting Person

**09/21/2021**  
 Date

Note: File **three (3)** copies of this form, one of which must be manually signed.  
 Attach additional sheets if space provided is insufficient.